

# CONFIDENTIAL STATEMENT OF EMPLOYMENT AND FINANCIAL INTERESTS

## (FOR USE BY GOVERNMENT EMPLOYEES)

1. NAME <i>(last, first, initial)</i>	2. TITLE OF POSITION
3. DATE OF APPOINTMENT IN PRESENT POSITION	4. AGENCY AND MAJOR ORGANIZATIONAL SEGMENT

**PART I. EMPLOYMENT AND FINANCIAL INTERESTS.** List the names of all corporations, companies, firms, or other business enterprises, partnerships, nonprofit organizations, and educational, or other institutions: (a) with which you are connected as an employee, officer, owner, director, member, trustee, partner, adviser, or consultant; or (b) in which you have any continuing

financial interests, through a pension or retirement plan, shared income, or other arrangement as a result of any current or prior employment or business or professional association; or (c) in which you have any financial interest through the ownership of stock, stock options, bonds, securities, or other arrangements including trusts. If none, write NONE.

NAME & KIND OF ORGANIZATION <i>(Use Part 1 designations where applicable.)</i>	ADDRESS	POSITION IN ORGANIZATION. <i>(Use Part 1(a) designations, if applicable.)</i>	NATURE OF FINANCIAL INTEREST, E.G., STOCK, PRIOR BUSINESS INCOME. <i>(Use Part 1(b) &amp; (c) designations, if applicable.)</i>

**PART II. CREDITORS.** List the names of your creditors other than those to whom you may be indebted by reason of a mortgage on property which you occupy as a personal residence or to whom

you may be indebted for current and ordinary household and living expenses such as household furnishings, automobile, education, vacation, and similar expenses. If none, write NONE.

NAME AND ADDRESS OF CREDITOR	CHARACTER OF INDEBTEDNESS, E.G., PERSONAL LOAN, NOTE, SECURITY

**PART III. INTERESTS IN REAL PROPERTY.** List your interest in real property or rights in lands, other than property which you occupy as a personal residence. If none, write NONE.

NATURE OF INTEREST, e.g., OWNERSHIP, MORTGAGE, LIEN, INVESTMENT TRUST	TYPE OF PROPERTY, e.g., RESIDENCE, HOTEL, APARTMENT, FARM, UNDEVELOPED LAND	ADDRESS. <i>(If rural, give RFD, or County and State.)</i>

**PART IV. INFORMATION REQUESTED OF OTHER PERSONS.** If any information is to be supplied by other persons, e.g., trustee, attorney, accountant, relative, please indicate the name

and address of such persons, the date upon which you requested that the information be supplied, and the nature of subject matter involved. If none, write NONE.

NAME AND ADDRESS	DATE OF REQUEST	NATURE OF SUBJECT MATTER

*I certify that the statements I have made are true, complete, and correct to the best of my knowledge and belief.*

\_\_\_\_\_  
*(Date)*

\_\_\_\_\_  
*(Signature)*

## **CONFIDENTIAL STATEMENT OF EMPLOYMENT AND FINANCIAL INTERESTS**

**For use by an officer or employee as required by section 402 of Executive Order 11222, dated May 8, 1965, Prescribing Standards of Ethical Conduct for Government Officers and Employees.**

### **GENERAL REQUIREMENTS.**

**The information to be furnished in this statement is required by Executive Order 11222 and the regulations of the Civil Service Commission issued thereunder and may not be disclosed except as the Commission or the agency head may determine for good cause shown.**

**The Order does not require the submission of any information relating to an employee's connection with, or interest in, a professional society or a charitable, religious, social, fraternal, recreational, public service, civic, or political organization or any similar organization not conducted as a business enterprise and which is not engaged in the ownership or conduct of a business enterprise. Educational and other institutions doing research and development or related work involving grants of money from or contracts with the Government are deemed to be "business enterprises" for the purpose of this report and should be included.**

**The information to be listed does not require a showing of the amount of financial interest, indebtedness, or the value of real property.**

**In the event any of the required information, including holdings placed in trust, is not known to the but is known to another person, you should request that other person to submit the information on your behalf and should report such request in Part IV of your statement.**

**The interest, if any, of a spouse, minor child, or other member of your immediate household shall be reported in this statement as your interest. If that information is to be supplied by others, it should be so indicated in Part IV. "Member of your immediate household" includes only those blood relations who are full-time residents of your household.**

**The Department of Transportation regulations governing Statements of Employment and Financial Interest are set forth in Part 99 of the Department's Regulations (Title 49, Code of Federal Regulations, Part 99).**